

## On the Stanley depth of a special class of Borel type ideals

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**Abstract** We give sharp bounds for the Stanley depth of a special class of monomial ideals of Borel type.

**Keywords** monomial ideals · ideals of Borel type · Stanley depth

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### Introduction

Let  $K$  be a field and  $S = K[x_1, \dots, x_n]$  the polynomial ring over  $K$ . Let  $M$  be a  $\mathbb{Z}^n$ -graded  $S$ -module. A *Stanley decomposition* of  $M$  is a direct sum  $\mathcal{D} : M = \bigoplus_{i=1}^r m_i K[Z_i]$  of  $\mathbb{Z}^n$ -graded  $K$ -vector spaces, where  $m_i \in M$  is homogeneous with respect to  $\mathbb{Z}^n$ -grading,  $Z_i \subset \{x_1, \dots, x_n\}$  such that  $m_i K[Z_i] = \{um_i : u \in K[Z_i]\} \subset M$  is a free  $K[Z_i]$ -submodule of  $M$ . We define  $\text{sdepth}(\mathcal{D}) = \min_{i=1, \dots, r} |Z_i|$  and  $\text{sdepth}(M) = \max\{\text{sdepth}(\mathcal{D}) \mid \mathcal{D} \text{ is a Stanley decomposition of } M\}$ . The number  $\text{sdepth}(M)$  is called the *Stanley depth* of  $M$ .

Stanley conjectured in [17] that  $\text{sdepth}(M) \geq \text{depth}(M)$  for any  $M$ . The conjecture was disproved in [9] for  $M = S/I$ , where  $I \subset S$  is a monomial ideal, but remains open in the case  $M = I$ . Herzog, Vladioiu and Zheng showed in [13] that  $\text{sdepth}(M)$  can be computed in a finite number of steps if  $M = I/J$ , where  $J \subset I \subset S$  are monomial ideals. In [16], Rinaldo gave a computer implementation for this algorithm, in the computer algebra system CoCoA [8]. For an introduction in the thematic of Stanley depth, we refer the reader to [10].

We say that a monomial ideal  $I \subset S$  is of *Borel type*, see [12], if it satisfies the following condition:  $(I : x_j^\infty) = (I : (x_1, \dots, x_j)^\infty)$ ,  $(\forall) 1 \leq j \leq n$ . The *Mumford-Castelnuovo regularity* of  $I$  is the number  $\text{reg}(I) = \max\{j - i : \beta_{ij}(I) \neq 0\}$ , where  $\beta_{ij}$ 's are the graded Betti numbers.

The regularity of the ideals of Borel type was extensively studied, see for instance [12], [1] and [5]. We study the invariant  $\text{sdepth}(I)$ , for an ideal of Borel

type. In the general case, we note some bounds for  $\text{sdepth}(I)$ , see Proposition 1.2 and we give some tighter ones, when  $I$  has a special form, see Theorem 1.6.

## 1 Main results

First, we recall the construction of the sequential chain associated to a Borel type ideal  $I \subset S$ , see [12] for more details. Assume that  $\text{Ass}(S/I) = \{P_0, \dots, P_m\}$  with  $P_i = (x_1, \dots, x_{n_i})$ , where  $n \geq n_0 > n_1 > \dots > n_m \geq 1$ . Also, assume that  $I = \bigcap_{i=0}^m Q_i$  is the reduced primary decomposition of  $I$ , with  $P_i = \sqrt{Q_i}$ , for all  $0 \leq i \leq m$ .

We define  $I_k := \bigcap_{j=k}^m Q_j$ , for all  $0 \leq k \leq m$ . One can easily check that  $I_i = (I_{i-1} : x_{n_{i-1}}^\infty)$ , for all  $1 \leq i \leq m$ . The sequence of ideals  $I = I_0 \subset I_1 \subset \dots \subset I_m \subset I_{m+1} := S$  is called the *sequential sequence* of  $I$ . Let  $J_i$  be the monomial ideal generated by  $G(I_i)$  in  $S_i := K[x_1, \dots, x_{n_i}]$ , for all  $0 \leq i \leq m$ . Then, the saturation  $J_i^{\text{sat}} = (J_i : (x_1, \dots, x_{n_i})^\infty) = J_{i+1}S_i$ , for all  $0 \leq i \leq m$ , where  $J_{m+1} := S_{m+1}$ . One has  $I_{i+1}/I_i \cong (J_i^{\text{sat}}/J_i)[x_{n_{i+1}}, \dots, x_n]$ . If  $M = \bigoplus_{t \geq 0} M_t$  is an Artinian graded  $S$ -module, we denote  $s(M) = \max\{t : M_t \neq 0\}$ . We recall the following result.

**Proposition 1.1** [12, Corollary 2.7]  $\text{reg}(I) = \max\{s(J_0^{\text{sat}}/J_0), \dots, s(J_m^{\text{sat}}/J_m)\} + 1$ .

**Proposition 1.2** *With the above notations, the following assertions hold:*

- (1)  $\text{sdepth}(S/I_i) = \text{depth}(S/I_i) = n - n_i$ , for all  $0 \leq i \leq m$ .
- (2)  $\text{sdepth}(I_0) \leq \text{sdepth}(I_1) \leq \dots \leq \text{sdepth}(I_m)$ .
- (3)  $\text{depth}(I_i) = n - n_i + 1 \leq \text{sdepth}(I_i) \leq \text{sdepth}(P_i) = n - \lfloor \frac{n_i}{2} \rfloor$ ,  $(\forall) 0 \leq i \leq m$ .

*Proof.* (1) From [13, Lemma 3.6] it follows that  $\text{sdepth}(S/I_i) = \text{sdepth}(S_i/J_i) + n - n_i$ . Also, we have  $\text{depth}(S/I_i) = \text{depth}(S_i/J_i) + n - n_i$ .

Since  $P_i S_i = (x_1, \dots, x_{n_i}) S_i \in \text{Ass}(S_i/J_i)$ , it follows that  $\text{depth}(S_i/J_i) = 0$  and thus, by [6, Theorem 1.4] or [10, Proposition 18], we get  $\text{sdepth}(S_i/J_i) = \text{depth}(S_i/J_i) = 0$ .

(2) Since  $I_i = (I_{i-1} : x_{n_{i-1}}^\infty)$ , by [14, Proposition 1.3] (see arXiv version), we get  $\text{sdepth}(I_{i-1}) \leq \text{sdepth}(I_i)$ , for all  $1 \leq i \leq m$ .

(3) Since  $I_i = J_i S$ , by [13, Lemma 3.6], it follows that  $\text{sdepth}(I_i) = n - n_i + \text{sdepth}_{S_i}(J_i) \geq n - n_i + 1$ . Since  $P_i \in \text{Ass}(I_i)$ , it follows that there exists a monomial  $v \in S$ , such that  $P_i = (I_i : v)$ . Therefore, by [14, Proposition 1.3] (see arXiv version), it follows that  $\text{sdepth}(P_i) \geq \text{sdepth}(I_i)$ . On the other hand,  $P_i$  is generated by variables. Thus, by [13, Lemma 3.6] and [2, Theorem 1.1], it follows that  $\text{sdepth}(P_i) = n - \lfloor \frac{ht(P_i)}{2} \rfloor = n - \lfloor \frac{n_i}{2} \rfloor$ .  $\square$

**Lemma 1.3** *Let  $r \leq n$  and  $a_1, \dots, a_r$  be positive integers. If  $Q = (x_1^{a_1}, \dots, x_r^{a_r}) \subset S$ , then  $\text{reg}(Q) = a_1 + \dots + a_r - r + 1$ .*

*Proof.* Let  $\bar{Q} = Q \cap S' \subset S'$ , where  $S' = K[x_1, \dots, x_r]$ . As a particular case of Proposition 1.1, we get  $\text{reg}(Q) = \text{reg}(\bar{Q}) = s(S'/\bar{Q}) + 1 = a_1 + \dots + a_r - r + 1$ .  $\square$

We recall the following result from [1].

**Proposition 1.4** [1, Corollary 3.17] *If  $I \subset S$  is an ideal of Borel type with the irredundant irreducible decomposition  $I = \bigcap_{i=1}^r C_i$ , then  $\text{reg}(I) = \max\{\text{reg}(C_i) : 1 \leq i \leq r\}$ .*

Let  $n \geq n_0 > n_1 > \dots > n_m \geq 1$  be some integers. Let  $a_{ij}$  be some positive integers, where  $0 \leq i \leq m$  and  $1 \leq j \leq n_i$ . We consider the monomial irreducible ideals  $Q_i = (x_1^{a_{i1}}, \dots, x_{n_i}^{a_{in_i}})$ , for  $0 \leq i \leq m$ . Let  $I_i := \bigcap_{j=i}^m Q_j$  and denote  $I = I_0$ . Since  $P_i = (x_1, \dots, x_{n_i}) = \sqrt{Q_i}$  for all  $0 \leq i \leq m$ , by [11, Proposition 5.2] or [5, Corollary 1.2], it follows that  $I$  is an ideal of Borel type. As a direct consequence of Lemma 1.3 and Proposition 1.4, we get the following corollary.

**Corollary 1.5** *If  $a_{ij} \geq a_{i+1,j}$  for all  $j \leq n_{i+1}$  and  $i < m$ , then  $\text{reg}(I_i) = \text{reg}(Q_i) = a_{i1} + a_{i2} + \dots + a_{in_i} - n_i + 1$ , for all  $0 \leq i \leq m$ .*

**Theorem 1.6** *If  $a_{ij} \geq a_{i+1,j}$  for all  $j \leq n_{i+1}$  and  $i < m$ , then for all  $0 \leq i \leq m$ , it holds that*

$$n - \left\lfloor \frac{n_i}{2} \right\rfloor \geq \text{sdepth}(I_i) \geq n + \left\lceil \frac{n_m}{2} \right\rceil - n_i.$$

*Proof.* The first inequality follows from Proposition 1.2(3). In order to prove the second one, we use induction on  $i \leq m$ . If  $i = m$ , then  $I_m = Q_m$  is an irreducible ideal, and therefore, by [7, Theorem 1.3],  $\text{sdepth}(I_m) = n - \left\lfloor \frac{n_m}{2} \right\rfloor = n + \left\lceil \frac{n_m}{2} \right\rceil - n_m$ .

Assume  $i < m$ . We can write  $Q_i = U_i + V_i$ , where  $U_i = (x_1^{a_{i1}}, \dots, x_{n_{i+1}}^{a_{in_{i+1}}})$  and  $V_i = (x_{n_{i+1}+1}^{a_{in_{i+1}+1}}, \dots, x_{n_i}^{a_{in_i}})$ . Since  $a_{ij} \geq a_{i+1,j}$  for all  $j \leq n_{i+1}$ , it follows that  $U_i \subset Q_{i+1}$ . Therefore,  $I_i = (U_i + V_i) \cap I_{i+1} = (U_i \cap I_{i+1}) + (V_i \cap I_{i+1})$ . Note that  $J := U_i \cap I_{i+1} = U_i \cap I_{i+2}$  is a Borel type ideal with the irreducible irredundant decomposition  $J = U_i \cap Q_{i+2} \cap \dots \cap Q_m$ , and, therefore, of the same class as  $I_{i+1}$ . Thus, by induction hypothesis, it follows that  $\text{sdepth}(J) \geq n + \left\lceil \frac{n_m}{2} \right\rceil - n_{i+1}$ .

On the other hand, by [4, Remark 1.3] and the induction hypothesis,  $\text{sdepth}(V_i \cap I_{i+1}) \geq \text{sdepth}(V_i) + \text{sdepth}(I_{i+1}) - n = \text{sdepth}(I_{i+1}) - \left\lfloor \frac{n_i - n_{i+1}}{2} \right\rfloor$ .

Let  $\bar{V}_i \subset S' = K[x_{n_{i+1}+1}, \dots, x_{n_i}]$  be the monomial ideal generated by  $G(V_i)$  and let  $\bar{J} \subset S'' = K[x_1, \dots, x_{n_{i+1}}, x_{n_{i+1}+1}, \dots, x_n]$  be the monomial ideal generated by  $G(J)$ . Since  $J \subset I_{i+1}$ , it follows that  $I_i = (\bar{J} \otimes_K (S''/\bar{V}_i)) \oplus (V_i \cap I_i)$ . By [3, Proposition 2.10] and [15, Lemma 2.2], we get:

$$\text{sdepth}(I_i) \geq \min\{\text{sdepth}(J) - n_i + n_{i+1}, \text{sdepth}(I_{i+1}) - \left\lfloor \frac{n_i - n_{i+1}}{2} \right\rfloor\} \geq n + \left\lceil \frac{n_m}{2} \right\rceil - n_i,$$

as required.  $\square$

**Question:** What can we say about the case when the condition  $a_{ij} \geq a_{i+1,j}$  is removed? Of course, the method used in the proof of the Theorem 1.6 does not work. However, our computer experiments in Cocoa [8] suggested that the conclusion of the Theorem 1.6 might be true. Unfortunately, we are not able to give either a proof, or a counterexample.

The next example shows that the bounds given in Theorem 1.6 are sharp.

*Example 1.1* Let  $I = Q_0 \cap Q_1$ , where  $Q_0 = (x_1^3, x_2^2, x_3^2, x_4, x_5)$ ,  $Q_1 = (x_1, x_2, x_3, x_4)$  are ideals in  $S = K[x_1, \dots, x_5]$ . Then  $I_1 = Q_1$  and  $\text{sdepth}(I_1) = 5 - \lfloor \frac{4}{2} \rfloor = 3$ . Also  $n = 5$ ,  $n_0 = 5$  and  $n_1 = 4$ . Using CoCoA, we get  $\text{sdepth}(I) = 2 = n - \lfloor \frac{n_1}{2} \rfloor - n_0$ . Let  $Q'_0 = (x_1^2, x_2^2, x_3, x_4, x_5) \subset S$  and  $I' = Q'_0 \cap Q_1$ . Using CoCoA [8], we get  $\text{sdepth}(I') = 3 = n - \lfloor \frac{n_0}{2} \rfloor$ .

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